

First considerations on post processing kinematic GNSS data during a geophysical oceanographic cruise

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ABSTRACT

Differential GNSS positioning on vessels is of considerable interest in various fields of application as navigation aids, precision positioning for geophysical surveys or sampling purposes especially when high resolution bathymetric surveys are conducted. However ship positioning must be considered a kinematic survey with all the associated problems. The possibility of using high-precision differential GNSS receivers in navigation is of increasing interest, also due to the very recent availability of low-cost differential receivers that may soon replace classic navigation ones based on the less accurate point positioning technique. The availability of greater plano-altimetric accuracy, however, requires an increasingly better understanding of planimetric and altimetric reference systems. In particular, the results allow preliminary considerations on the congruence between terrestrial reference systems (which the GNSS survey can easily refer to) and marine reference systems (connected to National Tidegauge Network). In spite of the fluctuations due to the physiological continuous variation of the ship's attitude, GNSS plot faithfully followed the trend of the tidal variations and highlighted the shifts between GNSS plot and the tide gauges due to the different materialization of the relative reference systems.

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Keywords: GNSS; bathymetry survey; RTK-Lib; geoid; Tyrrhenian Sea

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1. INTRODUCTION

The problem of calculating the connection between on-shore and off-shore heights is still very open and debated. In fact, even though they are all heights, they are referred to reference systems with non-equivalent definitions, so conceptually and numerically very different, and this often makes the connection between the two types of heights complex [1]. The ground altimetric reference system is generally based on the definition of a specific equipotential surface of the gravitational field, identified by the mean level of a reference tide gauge. This method has been used in Italy both historically [2] and currently [3] and various national terrestrial altimetric systems have been defined, for this reason the possibility of unifying them at a regional [4] and global level [5] is currently subject of research. GNSS systems have made it possible for the first time to obtain highly accurate plano-

altimetric measurements (and therefore also measurements) both on land and at sea; however, these measurements do not refer to a physical reality but to a mathematical surface, the ellipsoid of rotation. On land, ellipsoid elevations are transformed into orthometric elevations using local geoid models [6], which are generally more accurate, or global models, which are generally less accurate [7]. The problem of elevations at sea is even more complex because the sea surface is not an equipotential surface, which is "... characterized by uniform temperature and density and free of perturbations related to currents, winds and tides." [8] Unfortunately, these are ideal conditions that are never found in nature, and for this reason the materialisation of altimetric reference systems at sea is profoundly different from terrestrial altimetric reference systems [9]. It is important to underline that the interest in this case is mainly in seafloor depth in order to construct high resolution digital elevation model (DEMs) for seafloor, habitat

mapping and bathymetric cartographies which are mainly used for navigational, safety and scientific bathymetric surveys [10]-[12]. The elevations at sea are often referred to a conventional local zero identified with a local tide gauge, conventionally the tide gauge's "zero" has no connection with the "zero" of the national altimetric system; bathymetries are referred to the tide gauges reference system for prudential reasons related to navigation and nautical charts [9]. Therefore, there is no congruence between the zero of the tide gauges and the national altimetric system, not even between the various tide gauges at a given time. It must also be taken into account that the connection between the national altimetric system and the elevations on the islands cannot be made by precision geometric levelling but it is often made by trigonometric levelling or GNSS levelling corrected later with the same geoid models [13]. The interest in the correct relationship between terrestrial and tidal altimetry systems is constantly increasing both for the growing interest in the automatic extraction of coastlines [14]-[16] and for the very recent availability of low cost GNSS receivers that can acquire in differential mode, allowing centimetric and potentially also millimetric [17] planimetric accuracy even at sea.

In this paper, the first results of a Post Processing Kinematic (PPK) survey performed during the "ThyGraF – Tyrrhenian Gravity Flon" oceanographic campaign in the southern Tyrrhenian Sea conducted on board of the Urania R/V (Research Vessel) are reported. The aim was to make an initial comparison between the altitudes acquired by the GNSS device and corrected with a geoid model and those recorded simultaneously by the tide gauges present in the area.

In the paragraph "materials and methods" the instruments used and the measurements carried out will be illustrated, in the paragraph "GNSS data processing" the processing carried out and the different strategies used will be reported and finally, in the paragraph "results and discussion" the results obtained will be compared with the tidal data and the conclusions will be illustrated.

2. MATERIALS AND METHODS

During the *ThyGraF* oceanographic survey conducted on board of the Urania R/V from 12th to 19th of February 2013, the geodetic team of the scientific crew was engaged in experimenting and validating some innovative techniques of satellite survey in navigation. For this purpose, the geodetic class GPS-GNSS receiver Topcon Legacy-E was used and the antenna (Topcon PGA) was installed on the top of the ship itself, thanks to the collaboration of the ship's personnel (In Figure 1 square antenna to the right of the main mast).

Before departure, a survey was carried out using a total station with the aim to measure the antenna height respect to the waterline. The result was 18.132 m with respect to the bottom of antenna mount.

The installation height was necessary to avoid cycle slip and electromagnetic disturbances from the ship's machinery, but it certainly amplified the variability of the three-dimensional coordinates recorded due to the continuous and physiological variation of the ship's attitude. So, the antenna was installed on one of the highest points of the ship where the only obstruction was the highest part of the mast. The antenna was in basic configuration without multipath limiting devices because these could have increased the effect of the wind, so for this first experiment it was decided not to use them. No extensive analysis of antenna effects was carried out in this first experiment.

The data surveyed (acquired in double frequency with sampling interval of one second) have subsequently been post-processed with respect to the permanent stations of the new national dynamic network, these reference measurements have been made available by various agencies (University of Palermo, Calabria Region etc.). The survey and the subsequent post-processing allowed to evaluate the three-dimensional position of the antenna itself, statically fixed to the body of the ship throughout the oceanographic survey, with centimetre accuracy. It is important to underline that the antenna was obviously affected by all the displacements and attitude variations (heave, roll, pitch and yaw) that affected the ship during its navigation. This series of measurements allowed us to evaluate their variations with great accuracy.

The GNSS survey allowed the altimetric comparison between the data provided by the tidal networks (referred to a conventional local zero with respect to a local tide gauge) and the ones observed in navigation (ellipsoidal heigh that must be corrected with geoid model ITALGEO05). This analysis highlights a fundamental aspect linked to the compatibility between different altimetric reference systems, in order to allow a connection between on-shore and off-shore heights.

3. GNSS DATA PROCESSING

The GNSS receiver positioned on the Urania R/V (Figure 1) acquired dual frequency data with 1s sampling interval from $14^{\rm th}$ to $18^{\rm th}$ of February 2013; during the research survey the marine weather conditions were optimal.

The permanent station of Tropea (Table 1) belonging to the permanent network of Calabria region [18] was selected for data post-processing, hourly RINEX files with a sampling interval of 1 s were downloaded.



Figure 1. GNSS antenna and its installation on the *Urania* ship.

Table 1. Coordinates and characteristics of the TROP station as reported on the operator's website (reference system WGS84-ETRS89; EPSG: 4937 [19]).

| Name station | TROP |
|---------------|--------------------|
| Latitude | 38°40' 45.6525" N |
| Longitude | 15°53' 48.2067" E |
| <i>h</i> in m | 100.086 |
| Antenna type | LEIAT504GG LEIS |
| Receiver | LEICA GRX1200GGPRO |

3.1. RTKlib

The first step of the data processing was performed with RTKlib ver. 2.4.2, open-source package for GNSS positioning developed by Tomoji Takasu in 2007 [20], [21]. RTKlib can process data from different satellite systems (GPS, GLONASS, Galileo, QZSS and BeiDou) considering approaches both in real-time and post-processing and various position modes: Single, DGPS/DGNSS, Kinematic, Static, Moving-Baseline, Fixed, PPP-Kinematic, PPP-Static and PPP-Fixed.

Unfortunately, RTKlib provides a graphical interface where it is possible to upload only two RINEX files: one for the rover and a second for the base station; furthermore, the software does not manage the raw format of the Topcon receiver (Figure 2). Then, to facilitate the processing operations the data were managed with the open-source package TEQC by UNAVCO [22].

TEQC is a toolkit with three main functions: Translation, Editing, and Quality Checking, from which it gets its name. For our purposes, the two functions of translation and editing were exploited: the first function allows to convert (translate) GNSS raw receiver files into RINEX format (observation and navigational files); the second one allows to cut or splice RINEX files.

The data, in TPS format, acquired by the receiver positioned on the Urania R/V were then converted to RINEX and reorganised into daily files with the TEQC software. In addition, the data from the TROP permanent station, already in RINEX format, were edited with TEQC to create a single daily file.

The output files from the TEQC software were imported into RTKlib, together with the precise ephemerides released by Crustal Dynamics Data Information System (CDDIS) [4] and processed in kinematic mode.

For the DOY046 (acquisition period from 15/02/13 00:00:00 to 15/02/13 23:59:59) and DOY047 (acquisition period from

| ▼ Time Start (0 2013/02/15 | PST) ? | terval Unit |
|-------------------------------|--------------------------------|-------------|
| RINEX OBS: Ro | ver ? | ⊕ ⊑ |
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| | reading : 2013/02/15 00:00:00 | ? |

Figure 2. RTKlib options for the PPK data processing.

Table 2. Position estimated and their solutions.

| Position estimated | DOY046 | DOY047 |
|--------------------|--------------|--------------|
| Total | 85563 | 85233 |
| Fixed solution | 47573 (56 %) | 39609 (46 %) |
| Float solution | 37990 (56 %) | 43212 (51 %) |
| Single solution | 0 | 2412 (3 %) |

Table 3. Position estimated and their solutions.

| | | DOY046 | | | DOY047 | |
|-----|---------|---------|---------|---------|---------|---------|
| | Sdn (m) | Sde (m) | Sdu (m) | Sdn (m) | Sde (m) | Sdu (m) |
| avg | 0.006 | 0.005 | 0.012 | 0.005 | 0.005 | 0.012 |
| max | 0.543 | 0.407 | 0.988 | 0.637 | 0.486 | 1.065 |
| min | 0.003 | 0.003 | 0.008 | 0.003 | 0.002 | 0.008 |

16/02/13 00:00:00 to 16/02/13 23:59:59), the number of fixed/float solution (Table 2) and the estimated standard deviations (Table 3) were reported.

Unfortunately, the TROP permanent station acquisitions for the period 19:00:00 – 19:59:59 was not available, then RTKlib software could only process the solution in single position (Table 2). As a consequence, the coordinates related to these 2412 observations were not considered in the results (Table 3) and in all figures related to DOY047 because of very low and not reliable solution precision.

The positions measured in kinematic mode, obtained processing the GNSS data acquired during the two days of navigation, show a similar level of precision with a mean value of 0.005 m for the planimetric coordinates and 0.012 m for heights.

The planimetric navigation paths of the ship is represented in GIS software (QGIS): on the first day (DOY046) the path was mainly a round trip between the two islands of Stromboli and Lipari and therefore away from the mainland. On the second day (DOY047) navigation started with a long "transfer" section from the islands to the mainland in a north-west -> south-east direction and then navigation along the coast and some grids in specific areas. In Figure 3 the navigation paths DOY046 (red track) and DOY047 (yellow track) and the permanent GNSS station TROP location (red triangle) are represented.

The daily trend of heights, despite high variability due to the vessel attitude, shows a periodic variation almost certainly due to the tidal effect (Figure 4a). During the second day (Figure 4b), a discontinuity is observed in the central hours of the day, probably

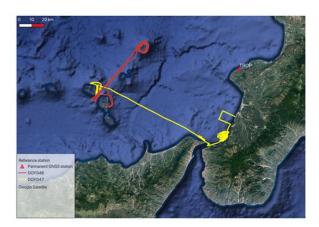


Figure 3. Navigation paths on DOY046 (red track) and DOY047 (yellow track) and the permanent GNSS station TROP location (red triangle).

this trend is due to the well-known effects of local tidal disturbance observed in the Messina Strait.

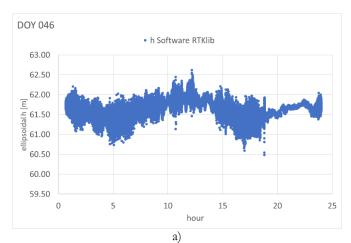
3.2. Topcon Tools

The results of the heights measured in kinematic mode obtained from the processing of GNSS data in RTKlib was repeated for verification with a commercial software, Topcon Tools.

This verification was carried out because RTKlib sometimes has small "bugs" [21] and because, according to some authors, Topcon Tools would show very accurate results, in some cases even comparable with those of scientific software. This is probably due to a complete configurability of the processing [23]

The Topcon Tools package ver. 8.2.3 by Topcon Corporation was used for the kinematic measurements. The software allows the data processing from different devices such as total stations, digital levels and GNSS receivers, and it was used in several technical-scientific applications [24], [25]. Topcon Tools uses the Modified Hopfield Model for the tropospheric corrections [26]. The employed positioning mode was Code-based differential ("CODE DIFF"), the time range and the cut-off angle were set to 15 seconds and 10 degrees, respectively.

Recently Dardanelli et al. [27] showed that the hypothesis of a normal distribution is confirmed in most of the pairs and, specifically, the Static vs. NRTK pair seems to achieve the best congruence, while involving the PPP approach, pairs obtained with CSRS software achieve better congruence than those involving RTKLIB software. Although the lowest congruencies seem characterizing the pairs involving RTKLIB, this result should not be considered a criticism on the performance of this well-known open access program, which undoubtedly is one



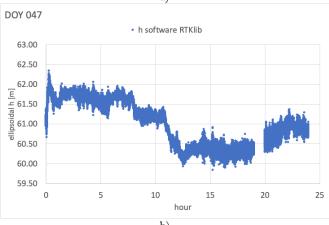


Figure 4. Ellipsoidal heigh variations during DOY046 (a in figure) and during DOY047 (b in figure)

Table 4. Positions estimated with both packages and their estimated standard deviations.

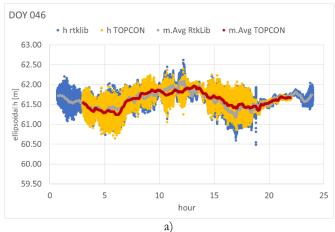
| | | DOY046 | | | DOY047 | |
|-----|---------|---------|---------|---------|---------|---------|
| | Sdn (m) | Sde (m) | Sdu (m) | Sdn (m) | Sde (m) | Sdu (m) |
| avg | 0.101 | 0.062 | 0.118 | 0.078 | 0.078 | 0.051 |
| max | 0.140 | 0.106 | 0.150 | 0.283 | 0.283 | 0.112 |
| min | 0.070 | 0.032 | 0.100 | 0.042 | 0.042 | 0.013 |
| | | DOY046 | | | DOY047 | |
| | Sdn (m) | Sde (m) | Sdu (m) | Sdn (m) | Sde (m) | Sdu (m) |
| avg | 0.006 | 0.005 | 0.012 | 0.005 | 0.005 | 0.012 |
| max | 0.543 | 0.407 | 0.988 | 0.637 | 0.486 | 1.065 |
| min | 0.003 | 0.003 | 0.008 | 0.003 | 0.002 | 0.008 |
| | | | | | | |

among of the most useful GNSS processing software available, given its very straightforward applicability, considering also that our analysis is limited to few hours of data.

The results obtained from the Topcon Tools processing are different from those obtained with RTKlib, but the general trend that seems to mainly reproduce the tidal effects is very similar (Figure 5a and b) as well as the numerical results (Table 4).

4. RESULTS AND DISCUSSION

The ellipsoidal heights processed with RTKlib and Topcon Tools were then compared with the hydrometric levels of some



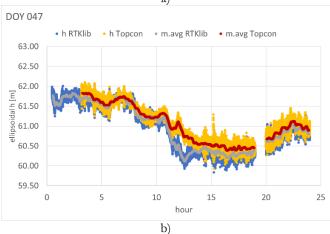


Figure 5. Ellipsoidal height variations during DOY046 (a in figure) and during DOY047 (b in figure), comparison between RTKlib (blue dots) and Topcon Tools (orange dots) results. In red is the 10-minute moving average from Topcon Tools and in grey that of RTKlib.



Figure 6. Tidegauge stations available in the study area.

stations belonging to the RMN – National Tidegauge Network [28].

RMN stations close to the study area are: "Ginostra", "Strombolicchio", "Reggio Calabria" and "Messina" (Figure 6). Unfortunately, the data from Strombolicchio station are not available for the period under examination.

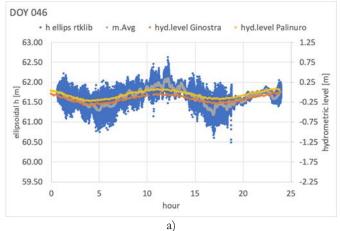
We decided to compare the hydrometric level with the ellipsoidal height, only on the tide gauge station of Ginostra and Palinuro, while Reggio Calabria and Messina were not selected because they showed a very different trend probably due to the well-known local effects near the Messina Strait (Figure 7).

At first, the variations measured by the tide gauges were compared only in terms of trend with the heights measured by the GNSS receiver on board the ship. In order to filter the heights and limit the effect of the oscillations caused by navigation, a moving average over ten-minute periods was adopted (in the figure is the grey trend).

As already observed, there is an apparent similar trend between the tide gauge and the averaged GNSS altitudes but also a constant shift between them.



Figure 7. Tide gauges and path of the navigation during DOY046 and DOY047.



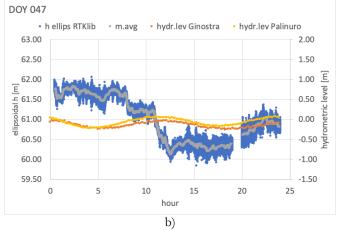


Figure 8. Tide gauges trend and mean heights from GNSS receiver for DOY046 (a) and DOY047 (b), note the different origin of height of the two series.

For DOY046 the trend agreement continues very well for the whole day while for DOY047 there seems to be a noticeable discontinuity at a certain point. The causes may be various but probably the aforementioned tidal effects near the strait are the main cause (Figure 8).

For the reasons outlined above, it was decided to continue the analysis only on DOY046, which seems more significant.

It is important to remember that the orthometric correction operated with a geoid model brings the elevations to an equipotential surface of the gravitational field at a given point on the national territory (for Italy, Genoa) that in general does not

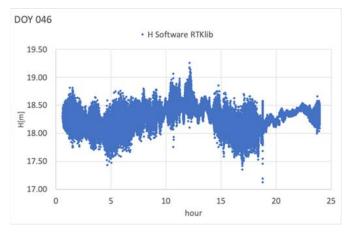


Figure 9. Orthometric heights for DOY046 (a) converted using ITALGEO2005 geoid model.

coincide with the sea level in another position of the national territory at a given time [29], [30]. Moreover, there is a problem of connection of the various tide gauges to the national altimetric system and conventionally the "zero" of the tide gauge has no connection with the "zero" of the national altimetric system.

The ellipsoidal elevations measured with the GNSS receiver were converted into orthometric elevations (H in Figure 9) with respect to the national altimetric system using the Geoid model ITALGEO2005, which is accredited with 2.5 cm of accuracy [5]. However, it should be noted that the reported accuracy is estimated on land where geoid–ellipsoid separation values measured on altimetric benchmarks are used to improve the estimate of geoid–ellipsoid separation itself. In our case some of the survey points are close to the coast and therefore the estimate of the geoid–ellipsoid separation should be reliable, while for offshore points the estimate is certainly less reliable. Moreover, the connection between the national and islands elevation systems cannot be made by precision geometric levelling but it is often made by trigonometric or GNSS levelling corrected later with the same geoid models.

The elevations were then converted from ellipsoidal to orthometric using the software "Geotrasformer" [31] that applies the resampling algorithms of the gridded geoid–ellipsoid separation values provided by the Italian Military Geographic Institute (IGMI), the official national geodetic agency that released the grids of the ITALGEO2005 model.

To compare surveyed data with the tide gauges information it is necessary subtract estimated antenna elevation (section 2) from the orthometric values themselves (GNSS measurements corrected with ITALGEO2005); it must be considered, however, that during navigation the ship can progressively but significantly change its height due to the discharge of waste water and fuel consumption, such variations can reach several centimetres during a campaign and their variation may not be constant, therefore an average value during the day can still give reliable information. It was decided to take an average of the orthometric heights for the entire day to reduce the effect of the tide, obtaining an average height of 18.276 m. (including the height of the PGA2 antenna).

Considering the approximations mentioned above, a comparison was made between the heights obtained with GNSS measurements corrected with ITALGEO2005 and antenna elevation (\widetilde{H} in Figure 10) and the heights reported at the same time by the two tide gauges considered significant: "Ginostra" and "Palinuro" (hydrostatic level in Figure 10).

The main trend of all three tracks (Figure 10) follows the same tidal repetitions. The gap in height between ship and tidal gauge data is due to the different definition of reference altimetric system, but the tidal effect is still predominant.

There is also a systematic shift between the heights of the two tide gauges, which may be due to local reasons of a different average sea level or, more likely, to a different materialisation during the installation of the tide gauge itself, but also to an imperfect connection between the tide gauges due to the difficulty of connecting the heights of an island (Ginostra) to the national altimetric system on the mainland.

5. CONCLUSIONS AND FURTHER DEVELOPMENTS

Considering the fluctuations due to the physiological continuous variation of the ship's attitude, the GNSS plot faithfully followed the trend of the tidal variations and highlighted the shifts between the GNSS data and the tide gauges

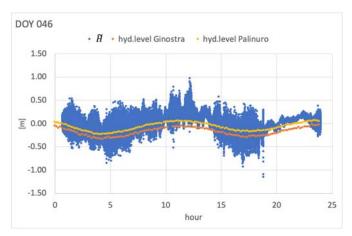


Figure 10. Orthometric heights for DOY046 (a) comparison with the heights reported at the same time by the two tide gauges considered significant: "Ginostra" and "Palinuro".

due to the different materialisation of the relative reference systems. In fact, even if the installation height amplified the variability due to the continuous variation of the ship's attitude, the average trend of the GNSS plot showed a relative trend very similar to that of the neighbouring tide gauges considered significant. After the orthometric correction of the heights and the estimation of the antenna height, it was possible to compare the data also "in absolute" (without forgetting the different altimetric references) and this comparison showed a remarkable agreement between the heights measured by the GNSS and the tide gauges, highlighting, at the same time, the effects of the different altimetric references. This experimentation highlighted the need to rethink or update marine altimeter datums especially in view of the possible imminent diffusion of low-cost differential GNSS receivers in navigation.

To study the possibility of highlighting any anomalies in operation, usually due to momentary dysfunctions of the satellite segment, the experimentation of the innovative approach "Multiconstellation" will be tested. This approach, designed by the same research group, can facilitate the detection of these anomalies while highlighting the corrections to be made. If this is verified, it would be possible to make the positioning measurements made during navigation more "robust" (i.e. less prone to errors), significantly improving their reliability. This could also be verified by a comparison with the information provided by the ship's DGPS-INS navigation system.

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